

## On Essentially Incomparable Banach Spaces

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### ABSTRACT

We introduce the concept of essentially incomparable Banach spaces, and give some examples. Then, for two essentially incomparable Banach spaces  $X$  and  $Y$ , we prove that a complemented subspace of the product  $X \times Y$  is isomorphic to the product of a complemented subspace of  $X$  and a complemented subspace of  $Y$ . If, additionally,  $X$  and  $Y$  are isomorphic to its respective hyperplanes, then the group of invertible operators in  $X \times Y$  is not connected. The results can be applied to some classical Banach spaces.

Let  $X$  and  $Y$  be Banach spaces, and  $L(X, Y)$  the class of all (continuous linear) operators from  $X$  to  $Y$ . Recall that  $T \in L(X, Y)$  is Fredholm if it has finite dimensional null space  $N(T)$  and finite codimensional range  $R(T)$ .

$S \in L(X, Y)$  is said to be inessential [8] if for any  $V \in L(X, Y)$  we have that  $I_X - VS$  is a Fredholm operator. We will denote

$$\mathfrak{I}(X, Y) := \{ T \in L(X, Y) : T \text{ inessential} \}.$$

DEFINITION 1. We say that two Banach spaces  $X$  and  $Y$  are essentially incomparable if every operator from  $X$  to  $Y$  is inessential.

PROPOSITION 1. *The above definition is symmetric:*

$$L(X, Y) = \mathfrak{I}(X, Y) \Leftrightarrow L(Y, X) = \mathfrak{I}(Y, X).$$

Remark 1. a) If the dual spaces  $X^*$ ,  $Y^*$  are essentially incomparable, then so are  $X$  and  $Y$ ; but the converse is not true. There exists an hereditarily reflexive space  $Y$  whose dual is isomorphic to  $\ell_1$  [3].

b)  $X$  and  $Y$  are totally incomparable [9], [7, 2.c.1] (coincomparable [6]) if any space isomorphic to a subspace (quotient) of  $X$  and to a subspace (quotient) of  $Y$  is finite dimensional.

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Totally incomparable (coincomparable) Banach spaces are essentially incomparable.

Recall that an operator is completely continuous if it takes weakly compact sets into compact sets, and a Banach space  $Z$  has the Schur property if the identity operator in  $Z$  is completely continuous.

$Z$  has the Dunford–Pettis property (DPP) if weakly compact operators from  $Z$  into any Banach space are completely continuous.

$C(K)$  spaces,  $L_1$  spaces, the disc algebra  $A$ , the space of bounded analytic functions on the disc  $H^\infty$ , Sobolev spaces, and other classical Banach spaces have the DPP (see [4]).

$Z$  has the reciprocal Dunford–Pettis property (RDPP) if completely continuous operators from  $Z$  into any Banach space are weakly compact.

$C(K)$  spaces, as well as Banach spaces containing no copy of  $\ell_1$ , or enjoying the property (V) of Pelczynski [5], have the RDPP.

**THEOREM 1.**  *$Y$  and  $Z$  are essentially incomparable in the following cases:*

- a)  $Y$  reflexive and  $Z$  has the DPP.
- b)  $Y$  has the RDPP and  $Z$  has the Schur property.
- c)  $Y$  contains no copy of  $\ell_1$  and  $Z = \ell_\infty$ ,  $H^\infty$ , or  $C(K)$ ,  $K$   $\sigma$ -stonian.
- d)  $Y$  contains no copy of  $c_0$  and  $Z = C(K)$ .
- e)  $Y$  contains no complemented copies of  $c_0$  and  $Z = C([0,1])$ .
- f)  $Y$  contains no complemented copies of  $\ell_1$  and  $Z = L_1(\mu)$ .
- g)  $Y, Z$  different spaces from  $\{\ell_p (1 \leq p \leq \infty), c_0\}$ .

**PROPOSITION 2.** *If  $Y$  and  $Z$  are essentially incomparable Banach spaces, then any Banach space isomorphic both to a complemented subspace of  $Y$  and to a complemented subspace of  $Z$  is finite dimensional.*

The analogy with the notions of totally incomparable [9] and totally coincomparable [6] Banach spaces suggests the following conjecture.

**CONJECTURE.**  $X$  and  $Y$  are essentially incomparable if and only if there exists no infinite dimensional Banach spaces isomorphic both to a complemented subspace of  $X$  and to a complemented subspace of  $Y$ .

Note that parts *c*, *d*, *e*, *f* and *g* in Theorem 1 support the conjecture, as well as the next theorem, proved in [1].

Recall [10] that a Banach space  $X$  is subprojective if every infinite

dimensional subspace of  $X$  contains an infinite dimensional subspace complemented in  $X$ ; and  $X$  is superprojective if every closed infinite codimensional subspace of  $X$  is contained in a closed infinite codimensional subspace complemented in  $X$ .

Examples of subprojective Banach spaces are  $c_0$ ,  $\ell_p$  ( $1 \leq p < \infty$ ),  $L_p[0,1]$  ( $2 \leq p < \infty$ ), the separable hereditarily- $c_0$  spaces, and the dual of the original Tsirelson space; and as examples of superprojective spaces we have  $\ell_p$  ( $1 < p < \infty$ ),  $L_p[0,1]$  ( $1 < p \leq 2$ ), and the original Tsirelson space (see [1]).

**THEOREM.** ([1]) *Suppose  $X$  (or  $Y$ ) is subprojective or superprojective. Then  $X$  and  $Y$  are essentially incomparable if and only if any space isomorphic to a complemented subspace of  $X$  and to a complemented subspace of  $Y$  is finite dimensional.*

**COROLLARY 1.** *a)  $L_p[0,1]$  ( $1 < p < \infty$ ) is essentially incomparable with  $Z$  if and only if  $Z$  contains no complemented copies of  $\ell_p$  or  $\ell_2$ .*

*b)  $\ell_p$  ( $1 \leq p \leq \infty$ ) is essentially incomparable with  $Z$  if and only if  $Z$  contains no complemented copies of  $\ell_p$ .*

*c) A separable hereditarily- $c_0$  space is essentially incomparable with  $Z$  if and only if  $Z$  contains no complemented copies of  $c_0$ .*

**THEOREM 2.** *Suppose  $Y$  and  $Z$  are essentially incomparable. For every complemented subspace  $M$  of  $Y \times Z$ , there exists an invertible  $U \in L(Y \times Z)$ , and complemented subspaces  $Y_0$  of  $Y$  and  $Z_0$  of  $Z$ , so that  $UM = Y_0 \times Z_0$ .*

*Remark 2.* In [11] it is proved the result of theorem 2 for spaces  $X$  and  $Y$  such that any operator from  $X$  to  $Y$  is strictly singular, but there are essentially incomparable Banach spaces  $X$  and  $Y$  such that neither  $L(X, Y)$  nor  $L(Y, X)$  consist of strictly singular operators.

For example,  $X := \ell_2(C[0,1])$  contains no complemented copies of  $\ell_1$  [2, Prop. 2.5]; hence it is essentially incomparable with  $Y := L_1[0,1]$ . However  $X$  contains a copy of  $\ell_1$  and a complemented copy of  $\ell_2$  and  $Y$  contains a complemented copy of  $\ell_2$  and a complemented copy of  $\ell_1$ . Hence there are non-strictly singular operators from  $X$  into  $Y$ , and from  $Y$  into  $X$ .

**COROLLARY 2.** *Let  $Y_1, \dots, Y_n$  be pairwise essentially incomparable Banach spaces. Any complemented subspace of  $Y_1 \times \dots \times Y_n$  is isomorphic to a product  $M_1 \times \dots \times M_n$  with  $M_i$  a complemented subspace of  $Y_i$ .*

**THEOREM 3.** *Suppose  $Y$  and  $Z$  are essentially incomparable Banach spaces, both of them isomorphic to its respective hyperplanes. Then the group of all invertible operators in  $Y \times Z$  is not connected.*

*Remark.* For different  $X, Y \in \{\ell_p (1 \leq p \leq \infty), c_0\}$ , we obtain a concrete example of an invertible operator in  $X \times Y$  that cannot be connected with the identity, as follows:

$$T = \begin{bmatrix} A & 0 \\ C & D \end{bmatrix} : X \times Y \longrightarrow X \times Y$$

$$A(x_1, x_2, \dots) = (x_2, x_3, \dots), \quad D(x_1, x_2, \dots) = (0, x_1, x_2, \dots), \quad C(x_1, x_2, \dots) = (x_1, 0, 0, \dots).$$

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