

On the Chebyshev Alternation Theorem [†]

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1. INTRODUCTION

The Hahn–Banach and Krein–Milman theorems permit us to obtain characterization results of best approximations. Although numerous authors have been used this approach (see e.g. [4, 6, 9]), probably the Singer’s book [11] is the main effort directed to the application of functional methods to the approximation theory.

In this paper, we derive a general characterization result of best simultaneous approximations from the Deutsch-Maserick theorem [4]. As an application to the space of continuous functions endowed with the uniform norm, we prove an alternation theorem which generalizes the ones stated by Rémes-Golomb [10, 7] and Ling-McLaughlin-Smith [8].

Let E be a normed space. As usual, B_E (resp. S_E) denotes the closed unit ball (resp. unit sphere) of E with center at the origin. If $A \subset E$, $\text{ext}(A)$ is the set of extremal points of A , and $\text{Re } \lambda$ denotes the real part of the scalar λ .

If L is a subset of E and $x \in E$, the set of best approximations to x from L is

$$P_L(x) := \{u_0 \in L : \|x - u_0\| = \inf_{u \in L} \|x - u\|\}.$$

To define a criterion for simultaneous approximation, endow $E \times E$ with a norm. Then $u_0 \in L$ is a *best simultaneous approximation* to $x, y \in E$ from L if $(u_0, u_0) \in P_{d(L \times L)}(x, y)$, where $d(L \times L) = \{(u, u) \in E \times E : u \in L\}$ is the diagonal set of $L \times L$.

We will focus our attention on M-norms on $E \times E$, i.e. those norms defined as $\|(x, y)\| = |(\|x\|, \|y\|)|$, where $|\cdot|$ is a norm in \mathbb{R}^2 such that $|r_k| \leq |s_k|$,

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$k = 1, 2$, implies $|(r_1, r_2)| \leq |(s_1, s_2)|$. The most typical example of M-norms is the family of p -norms,

$$\|(x, y)\|_p = (\|x\|^p + \|y\|^p)^{1/p}, \quad p \geq 1, \quad \|(x, y)\|_\infty = \max(\|x\|, \|y\|).$$

From now on, B' will denote the unit ball of $|\cdot|'$, the dual norm of $|\cdot|$. Finally if $(x, y) \neq (0, 0)$, we set

$$\Delta_{x,y} = \{(r_1, r_2) \in \mathbb{R}^2 : |(r_1, r_2)|' = 1, r_1\|x\| + r_2\|y\| = \|(x, y)\|\}.$$

2. CHARACTERIZATION OF BEST SIMULTANEOUS APPROXIMATIONS

The general characterization theorem follows from [3, Theorem 1] and [4, Theorem 2.5].

THEOREM 1. *Let $E \times E$ be an M-normed space, L a convex set of E , and x, y distinct points of E . Then $u_0 \in L$ is a best simultaneous approximation to x, y from L if and only if there exists $(\varphi, \psi) \in E' \times E'$ such that*

$$\begin{aligned} (\|\varphi\|, \|\psi\|) &\in \Delta_{x-u_0, y-u_0}, \\ \varphi(x - u_0) &= \|\varphi\| \|x - u_0\|, \quad \psi(y - u_0) = \|\psi\| \|y - u_0\|, \\ \operatorname{Re}((\varphi + \psi)(u - u_0)) &\leq 0, \quad \text{for every } u \in L. \end{aligned}$$

In the following, $E = C[a, b]$ will denote the space of real continuous functions on the compact interval $[a, b]$ of \mathbb{R} endowed with the uniform norm. A subset $\{u_1, \dots, u_n\}$ of E is said to have the *Haar property* if, for every choice of n different points $t_1, \dots, t_n \in [a, b]$, we have $\det u_j(t_i) \neq 0$, $i, j = 1, \dots, n$. The n -dimensional linear subspace L , generated by the functions $\{u_1, \dots, u_n\}$, is called a Haar subspace.

Let $E \times E$ be endowed with an M-norm; $x, y \in L$; L be an n -dimensional Haar subspace of E ; and $u_0 \in L$. We will say that (u_0, x, y) has a *straddle point* if there exists $a \leq t \leq b$ such that

$$\begin{aligned} \|x - u_0\| &= \epsilon(x - u_0)(t), \quad \|y - u_0\| = -\epsilon(y - u_0)(t), \\ \|(x - u_0, y - u_0)\| &= \frac{\epsilon}{|(1, 1)|'}(x - y)(t), \quad \text{where } \epsilon = \pm 1. \end{aligned}$$

This definition generalizes those given in [5] and [8] for the special cases in which $E \times E$ is endowed with the maximum and the sum norm respectively.

Note that if (u_0, x, y) has a straddle point, then u_0 is a best simultaneous approximation to x, y from L .

For $x \in E$, we set $T[x] = \{t \in [a, b] : \|x\| = |x(t)|\}$. One says that (u, x, y) *partially alternate* n times on $[a, b]$ if there are $n + 1$ points $a \leq t_1 < \dots < t_{n+1} \leq b$ such that $t_i \in T[z_i - u]$, and $\operatorname{sgn}(z_i(t_i) - u(t_i)) = \epsilon^{i+1} \operatorname{sgn}(z_{i+1}(t_{i+1}) - u(t_{i+1}))$, where $\epsilon = \pm 1$, $z_i, z_{i+1} = x$ or y , $i = 1, \dots, n$, and sgn denotes the sign function [2].

The next theorem gives a necessary and sufficient condition for ordered best simultaneous approximations when the criterium of approximating comes defined by a M-norm with the commutative property, i.e. such that $|(\|v\|, \|w\|)| = |(\|w\|, \|v\|)|$, for every $v, w \in E$. We will also suppose that $|(1, 0)| = 1$.

In particular, when the norm in \mathbb{R}^2 is the maximum norm, is obtained the alternation theorem of Rémes–Golomb [10, 7]. On the other hand, when the sum norm is considered, this characterization result has been obtained in [8, Theorem 3.1].

THEOREM 2. (Chebyshev alternation theorem) *Let $E \times E$ be endowed with a commutative M-norm; L be an n -dimensional Haar subspace with $1 \in L$; x, y distinct points of E such that $x \leq y$ and $u_0 \in L$. Then u_0 is a best simultaneous approximation to x, y from L if and only if at least one of the following three conditions holds.*

- (i) (u_0, x, y) has a straddle point.
- (ii) There exists $n + 1$ points $a \leq t_1 < \dots < t_{n+1} \leq b$ such that

$$\begin{aligned} \|x - u_0\| &= u_0(t_i) - x(t_i), \text{ for each even } i \in \{1, \dots, n\}, \\ \|y - u_0\| &= y(t_j) - u_0(t_j), \text{ for each odd } j \in \{1, \dots, n\}, \\ \|(x - u_0, y - u_0)\| &= \frac{1}{2}|(1, 1)|(\|x - u_0\| + \|y - u_0\|). \end{aligned}$$

- (iii) Condition (ii) holds for each odd i and each even j .

Remark. (a) If the unit sphere of the norm $|\cdot|$ has not segments with slope ± 1 , the condition (ii) in the previous theorem becomes

- (ii') There exists $n + 1$ points $a \leq t_1 < \dots < t_{n+1} \leq b$ such that

$$\|x - u_0\| = \|y - u_0\| = u_0(t_i) - x(t_i) = y(t_j) - u_0(t_j),$$

for each even i and for each odd j in $\{1, \dots, n\}$.

Note that, in this case, $P_{d(L \times L)}(x, y)$ coincides with the set of best simultaneous approximations to x, y from L , when $E \times E$ is endowed with the maximum norm. Then the algorithm in [1] for construction of elements of the relative Chebyshev center may be used.

(b) When the unit sphere of the norm $|\cdot|$ has some segment with slope ± 1 , a necessary condition in order that $(u_0, u_0) \in P_{d(L \times L)}(x, y)$ is

$$\|x - u_0\| + \|y - u_0\| = \frac{1}{2} |(1, 1)| \inf_{u \in L} \|(x - u, y - u)\|.$$

So a slight modification of the previous algorithm enables us to find best simultaneous approximations.

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