

EXISTENCE RESULTS FOR A SUPERLINEAR SINGULAR EQUATION OF CAFFARELLI-KOHN-NIRENBERG TYPE

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ABSTRACT. In this paper, using the Mountain Pass Lemma and the Linking Argument, we prove the existence of nontrivial weak solutions for the Dirichlet problem for the superlinear equation of Caffarelli-Kohn-Nirenberg type in the case where the parameter $\lambda \in (0, \lambda_2)$, λ_2 being the second positive eigenvalue of the quasilinear elliptic equation of Caffarelli-Kohn-Nirenberg type.

KEY WORDS: singular equation, Caffarelli-Kohn-Nirenberg inequality, Mountain Pass Lemma, Linking Argument.

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1. INTRODUCTION.

In this paper, we investigate the existence of weak solutions for the following Dirichlet problem for the superlinear singular equation of Caffarelli-Kohn-Nirenberg type:

$$(1.1) \quad \begin{cases} -\operatorname{div}(|x|^{-ap}|Du|^{p-2}Du) = \lambda|x|^{-(a+1)p+c}|u|^{p-2}u + |x|^{-bq}f(u), & \text{in } \Omega \\ u = 0, & \text{on } \partial\Omega, \end{cases}$$

where $\Omega \subset \mathbb{R}^n$ is an open bounded domain with C^1 boundary and $0 \in \Omega$, $1 < p < n$, $0 \leq a < \frac{n-p}{p}$, $a \leq b \leq a+1$, $q < p^*(a, b) = \frac{np}{n-dp}$, $d = 1+a-b \in [0, 1]$, and $c > 0$.

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For $a = 0$, $c = p$, many results of linking-type for critical points have been obtained (e.g. [1, 2, 6] for $p = 2$, [12] for $p \neq 2$ and [14] for the case with indefinite weights).

The starting point of the variational approach to these problems with $a \geq 0$ is the following weighted Sobolev-Hardy inequality due to Caffarelli, Kohn and Nirenberg [4], which is called the Caffarelli-Kohn-Nirenberg inequality. Let $1 < p < n$. For all $u \in C_0^\infty(\mathbb{R}^n)$, there is a constant $C_{a,b} > 0$ such that

$$(1.2) \quad \left(\int_{\mathbb{R}^n} |x|^{-bq} |u|^q dx \right)^{p/q} \leq C_{a,b} \int_{\mathbb{R}^n} |x|^{-ap} |Du|^p dx,$$

where

$$(1.3) \quad -\infty < a < \frac{n-p}{p}, \quad a \leq b \leq a+1, \quad q = p^*(a,b) = \frac{np}{n-dp}, \quad d = 1+a-b.$$

Let $\Omega \subset \mathbb{R}^n$ be an open bounded domain with C^1 boundary and $0 \in \Omega$, $\mathcal{D}_a^{1,p}(\Omega)$ be the completion of $C_0^\infty(\mathbb{R}^n)$, with respect to the norm $\|\cdot\|$ defined by

$$\|u\| = \left(\int_{\Omega} |x|^{-ap} |Du|^p dx \right)^{1/p}.$$

From the boundedness of Ω and the standard approximation argument, it is easy to see that (1.2) holds for any $u \in \mathcal{D}_a^{1,p}(\Omega)$ in the sense:

$$(1.4) \quad \left(\int_{\Omega} |x|^{-\alpha} |u|^r dx \right)^{p/r} \leq C \int_{\Omega} |x|^{-ap} |Du|^p dx,$$

for $1 \leq r \leq \frac{np}{n-p}$, $\alpha \leq (1+a)r + n(1 - \frac{r}{p})$, that is, the embedding $\mathcal{D}_a^{1,p}(\Omega) \hookrightarrow L^r(\Omega, |x|^{-\alpha})$ is continuous, where $L^r(\Omega, |x|^{-\alpha})$ is the weighted L^r space with norm:

$$\|u\|_{r,\alpha} := \|u\|_{L^r(\Omega, |x|^{-\alpha})} = \left(\int_{\Omega} |x|^{-\alpha} |u|^r dx \right)^{1/r}.$$

In fact, we have the following compact embedding result which is an extension of the classical Rellich-Kondrachov compactness theorem (cf. [7] for $p = 2$ and [16] for the general case). For convenience of the readers, we include the proof here.

Theorem 1 (Compact embedding theorem). *Suppose that $\Omega \subset \mathbb{R}^n$ is an open bounded domain with C^1 boundary and $0 \in \Omega$, $1 < p < n$, $-\infty < a < \frac{n-p}{p}$. The embedding $\mathcal{D}_a^{1,p}(\Omega) \hookrightarrow L^r(\Omega, |x|^{-\alpha})$ is compact if $1 \leq r < \frac{np}{n-p}$, $\alpha < (1+a)r + n(1 - \frac{r}{p})$.*

Proof. The continuity of the embedding is a direct consequence of the Caffarelli-Kohn-Nirenberg inequality (1.2) or (1.4). To prove the compactness, let $\{u_m\}$ be a bounded sequence in $\mathcal{D}_a^{1,p}(\Omega)$. For any $\rho > 0$, if $B_\rho(0) \subset \Omega$ is the ball centered at the origin with radius ρ , it holds that $\{u_m\} \subset W^{1,p}(\Omega \setminus B_\rho(0))$.

Then the classical Rellich-Kondrachov compactness theorem guarantees the existence of a convergent subsequence of $\{u_m\}$ in $L^r(\Omega \setminus B_\rho(0))$. By taking a diagonal sequence, we can assume without loss of generality that $\{u_m\}$ converges in $L^r(\Omega \setminus B_\rho(0))$ for any $\rho > 0$.

On the other hand, for any $1 \leq r < \frac{np}{n-p}$, there exists a $b \in (a, a+1]$ such that $r < q = p^*(a, b) = \frac{np}{n-dp}$, $d = 1 + a - b \in [0, 1)$. From the Caffarelli-Kohn-Nirenberg inequality (1.2) or (1.4), $\{u_m\}$ is also bounded in $L^q(\Omega, |x|^{-bq})$. By the Hölder inequality, for any $\delta > 0$, it holds that

$$\begin{aligned}
 \int_{|x|<\delta} |x|^{-\alpha} |u_m - u_j|^r dx &\leq \left(\int_{|x|<\delta} |x|^{-(\alpha-br)\frac{q}{q-r}} dx \right)^{1-\frac{r}{q}} \\
 &\quad \times \left(\int_{\Omega} |x|^{-br} |u_m - u_j|^r dx \right)^{r/q} \\
 (1.5) \qquad \qquad \qquad &\leq C \left(\int_0^\delta r^{n-1-(\alpha-br)\frac{q}{q-r}} dr \right)^{1-\frac{r}{q}} \\
 &= C \delta^{n-(\alpha-br)\frac{q}{q-r}},
 \end{aligned}$$

where $C > 0$ is a constant independent of m . Since $\alpha < (1+a)r + n(1 - \frac{r}{p})$, it holds that $n - (\alpha - br)\frac{q}{q-r} > 0$. Therefore, for a given $\varepsilon > 0$, we first fix $\delta > 0$ such that

$$\int_{|x|<\delta} |x|^{-\alpha} |u_m - u_j|^r dx \leq \frac{\varepsilon}{2}, \quad \forall m, j \in \mathbb{N},$$

then we choose $N \in \mathbb{N}$ such that

$$\int_{\Omega \setminus B_\delta(0)} |x|^{-\alpha} |u_m - u_j|^r dx \leq C_\alpha \int_{\Omega \setminus B_\delta(0)} |u_m - u_j|^r dx \leq \frac{\varepsilon}{2}, \quad \forall m, j \geq N,$$

where $C_\alpha = \delta^{-\alpha}$ if $\alpha \geq 0$ and $C_\alpha = (\text{diam}(\Omega))^{-\alpha}$ if $\alpha < 0$. Thus

$$\int_{\Omega} |x|^{-\alpha} |u_m - u_j|^r dx \leq \varepsilon, \quad \forall m, j \geq N,$$

that is, $\{u_m\}$ is a Cauchy sequence in $L^q(\Omega, |x|^{-bq})$. □

Our results will rely mainly on the results of the eigenvalue problem corresponding to problem (1.1) in [15]. Let us first recall the main results of [15]. Consider the nonlinear eigenvalue problem:

$$(1.6) \quad \begin{cases} -\text{div}(|x|^{-ap}|Du|^{p-2}Du) = \lambda|x|^{-(a+1)p+c}|u|^{p-2}u, & \text{in } \Omega \\ u = 0, & \text{on } \partial\Omega, \end{cases}$$

where $\Omega \subset \mathbb{R}^n$ is an open bounded domain with C^1 boundary and $0 \in \Omega$, $1 < p < n$, $0 \leq a < \frac{n-p}{p}$, $c > 0$.

Let us introduce the following functionals in $\mathcal{D}_a^{1,p}(\Omega)$:

$$\Phi(u) := \int_{\Omega} |x|^{-ap}|Du|^p dx, \quad \text{and} \quad J(u) := \int_{\Omega} |x|^{-(a+1)p+c}|u|^p dx.$$

For $c > 0$, J is well-defined. Furthermore, $\Phi, J \in C^1(\mathcal{D}_a^{1,p}(\Omega), \mathbb{R})$, and a real value λ is an eigenvalue of problem (1.6) if and only if there exists $u \in \mathcal{D}_a^{1,p}(\Omega) \setminus \{0\}$ such that $\Phi'(u) = \lambda J'(u)$. At this point, we introduce the set

$$\mathcal{M} := \{u \in \mathcal{D}_a^{1,p}(\Omega) : J(u) = 1\}.$$

Then $\mathcal{M} \neq \emptyset$ and \mathcal{M} is a C^1 manifold in $\mathcal{D}_a^{1,p}(\Omega)$. It follows from the standard Lagrange multipliers arguments that the eigenvalues of (1.6) correspond to the critical values of $\Phi|_{\mathcal{M}}$. From Theorem 1, Φ satisfies the (PS) condition on \mathcal{M} . Thus a sequence of critical values of $\Phi|_{\mathcal{M}}$ comes from the Ljusternik-Schnirelman critical point theory on C^1 manifolds. Let $\gamma(A)$ denote the Krasnoselski's genus on $\mathcal{D}_a^{1,p}(\Omega)$ and for any $k \in \mathbb{N}$, set

$$\Gamma_k := \{A \subset \mathcal{M} : A \text{ is compact, symmetric and } \gamma(A) \geq k\}.$$

Then the values

$$(1.7) \quad \lambda_k := \inf_{A \in \Gamma_k} \max_{u \in A} \Phi(u)$$

are critical values and hence are eigenvalues of problem (1.6). Moreover, $\lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_k \leq \dots \rightarrow +\infty$.

One can also define another sequence of critical values minimaxing Φ along a smaller family of symmetric subsets of \mathcal{M} . Denote by S^k the unit sphere of \mathbb{R}^{k+1} and

$$\mathcal{O}(S^k, \mathcal{M}) := \{h \in C(S^k, \mathcal{M}) : h \text{ is odd}\}.$$

Then for any $k \in \mathbb{N}$, the value

$$(1.8) \quad \mu_k := \inf_{h \in \mathcal{O}(S^{k-1}, \mathcal{M})} \max_{t \in S^{k-1}} \Phi(h(t))$$

is an eigenvalue of (1.6). Moreover $\lambda_k \leq \mu_k$. This new sequence of eigenvalues was first introduced in [11] and later used in [10, 9] for $a = 0, c = p$.

In [15], we proved that the first positive eigenvalue $\lambda_1 = \mu_1$ is simple, isolated and it is the unique eigenvalue with positive eigenfunction, and $\underline{\lambda}_2 := \inf\{\lambda \in \mathbb{R} : \lambda \text{ is eigenvalue and } \lambda > \lambda_1\} = \lambda_2 = \mu_2$.

In this paper, based on the Mountain Pass Lemma and the Linking Argument, we will prove the existence of nontrivial weak solutions to problem (1.1) in the case where the parameter $\lambda \in (0, \lambda_2)$.

2. LINKING RESULTS

Let $e_k \in \mathcal{M}$ be the eigenfunction associated to λ_k , then $\|e_k\|_{\mathcal{D}_a^{1,p}(\Omega)}^p = \lambda_k$. Denote $G = \{u \in \mathcal{M} : \Phi(u) < \lambda_2\}$. Obviously, G is an open set containing e_1 and e_2 . Moreover $-G = G$. First we prove the following Lemma.

Lema 2. e_1 and $-e_1$ do not belong to the same connected component of G .

Proof. Otherwise, there exists a continuous curve σ connecting e_1 and $-e_1$ in G . Let $A = \sigma \cup \{-\sigma\}$, then from the definition of \mathcal{M} , $0 \notin A$, hence $\gamma(A) > 1$, by connectedness of A , so $A \in \Gamma_2$. Hence, as A is a compact set in G , and from the definition of G , we have $\max\{\Phi(u); u \in A\} < \lambda_2$ and this contradicts the definition of λ_2 . Q.E.D.

Let G_1 be the connected component of G containing e_1 , then $-G_1$ is the connected component of G containing $-e_1$. Let

$$K_1 = \{tu : u \in G_1, t > 0\}, \quad K = -K_1 \cup K_1.$$

Then

$$(2.1) \quad \int_{\Omega} |x|^{-ap} |Du|^p dx < \lambda_2 \int_{\Omega} |x|^{-(a+1)p+c} |u|^p dx, \quad \forall u \in K,$$

and

$$(2.2) \quad \int_{\Omega} |x|^{-ap} |Du|^p dx = \lambda_2 \int_{\Omega} |x|^{-(a+1)p+c} |u|^p dx, \quad \forall u \in \partial K,$$

where ∂K is the boundary of K in $X = \mathcal{D}_a^{1,p}(\Omega)$. Let $(\partial K)_\rho = \{u \in \partial K : \|u\| = \rho\}$.

Set

$$\begin{aligned} \mathcal{E}_1 &= \text{span}\{e_1\}, \quad \mathcal{E}_2 = \text{span}\{e_1, e_2\}, \\ \mathcal{Z} &= \{u \in X : \int_{\Omega} |Du|^p = \lambda_2 \int_{\Omega} V(x)|u|^p\}, \text{ then} \end{aligned}$$

(2.2) implies $\partial K \subset \mathcal{Z}$.

In a similar way to Proposition 2.1-2.2 in [12] and Lemma 2.1-2.2 in [14], we obtain the following two linking results.

Theorem 3. *Assume that $v \in \mathcal{E}_1$, $v \neq 0$, $Q = [-v, v]$ is the line segment connecting $-v$ and v , $\partial Q = \{-v, v\}$. Then $\partial Q \subset Q$ and \mathcal{Z} link in X , that is,*

- (i) $\partial Q \cap \mathcal{Z} = \emptyset$ and
- (ii) *For any continuous map $\psi : Q \rightarrow X$ with $\psi|_{\partial Q} = \text{id}$, it follows that $\psi(Q) \cap \mathcal{Z} \neq \emptyset$.*

Proof. It is obvious that $\partial Q \cap \mathcal{Z} = \emptyset$. Now let $\psi : Q = [-v, v] \rightarrow X$ be continuous and $\psi|_{\partial Q} = \text{id}$. From the definition of K and Lemma 2, K has two connected components K_1 and $-K_1$. Assume $v \in K_1$, $-v \in -K_1$, then $\psi(Q)$ is a continuous curve connecting v and $-v$, therefore it holds that $\psi(Q) \cap \partial K \neq \emptyset$ and thus $\psi(Q) \cap \mathcal{Z} \neq \emptyset$. □

Theorem 4. *Assume $0 < \rho < r < \infty$, let $\tilde{e}_1 = e_1/\lambda_1^{1/p}$, $\tilde{e}_2 = e_2/\lambda_2^{1/p}$, and*

$$Q = Q_r = \{u = t_1 \tilde{e}_1 + t_2 \tilde{e}_2 : \|u\| \leq r, t_2 \geq 0\},$$

$$\partial Q = \partial Q_r = \{u = t_1 \tilde{e}_1 : |t_1| \leq r\} \cup \{u \in Q_r : \|u\| = r\},$$

$$Z_\rho = \{u \in \mathcal{Z} : \|u\| = \rho\}.$$

Then $\partial Q_r \subset Q_r$ and Z_ρ link in X .

Proof. $\partial Q_r \cap Z_\rho = \emptyset$ is obvious. Let $\psi : Q_r \rightarrow X$ be continuous and $\psi|_{\partial Q_r} = \text{id}$. Denote $d_1 = \text{dist}(\tilde{e}_1, \partial K)$ and define the mapping $P : X \rightarrow \mathcal{E}_2$ as follows:

$$P(u) = \begin{cases} (\min\{\text{dist}(u, \partial K), rd_1\})\tilde{e}_1 + (\|u\| - \rho)\tilde{e}_2, & \text{if } u \notin -K_1; \\ -(\min\{\text{dist}(u, \partial K), rd_1\})\tilde{e}_1 + (\|u\| - \rho)\tilde{e}_2, & \text{if } u \in -K_1. \end{cases}$$

It is easy to see that P is continuous, and that P maps $v = r\tilde{e}_1$ to $v_1 = Pv = rd_1\tilde{e}_1 + (r - \rho)\tilde{e}_2$, the origin 0 to $0_1 = P0 = -\rho\tilde{e}_2$, the line segment $[v, 0]$ onto the line segment $[v_1, 0_1]$ homeomorphically; $-v = -r\tilde{e}_1$ to $v_2 = P(-v) = -rd_1\tilde{e}_1 + (r - \rho)\tilde{e}_2$, the line segment $[0, -v]$ onto a line segment $[0_1, v_2]$ homeomorphically; and the half circle $\{u \in \partial Q : \|u\| = r\}$ which is from v to $-v$ in ∂Q onto the line segment $[v_1, v_2]$, where $P(r\tilde{e}_2) = (r - \rho)\tilde{e}_2$.

Let $f = P \circ \psi : Q \rightarrow \mathcal{E}_2$. From the discussion above, it holds that $0 \notin f(\partial Q)$, and when u turns a circuit along ∂Q counterclockwise, $f(u)$ also moves a circuit around the original 0 in \mathcal{E}_2 counterclockwise. Hence by a degree argument, it holds that $\deg(f, Q, 0) = 1$. So there exists some $u \in Q$ such that $f(u) = 0$, i.e., $P(\psi(u)) = 0$, which implies that $\psi(u) \in \partial K$ and $\|\psi(u)\| = \rho$. Thus $\psi(u) \in (\partial K)_\rho$ and $\psi(Q) \cap (\partial K)_\rho \neq \emptyset$. Since $(\partial K)_\rho \subset Z_\rho$, it follows that $\psi(Q) \cap \mathcal{Z} \neq \emptyset$ \square

3. EXISTENCE RESULTS FOR PROBLEM (1.1)

In this section, we will give some conditions on $f(u)$ to guarantee that the functional associated to problem (1.1) satisfies the Palais-Smale condition ((PS) condition) for $\lambda \in (0, \lambda_2)$, the geometric assumptions of the Mountain Pass Lemma (cf. Theorem 6.1 in Chapter 2 of [13]) in the case of $0 < \lambda < \lambda_1$, and those of the linking theorem (cf. Theorem 8.4 in Chapter 2 of [13]) in the case of $\lambda_1 \leq \lambda < \lambda_2$.

Assume $f : \mathbb{R} \rightarrow \mathbb{R}$ satisfies:

- (f₁) (Subcritical growth) $|f(s)| \leq c_1|s|^{q-1} + c_2, \forall s \in \mathbb{R}$, where $1 < q < p^*(a, b) = \frac{Np}{N-qp}$.
- (f₂) $f \in C(\mathbb{R}, \mathbb{R}), f(0) = 0, uf(u) \geq 0, u \in \mathbb{R}$.
- (f₃) (Asymptotic property at infinity) $\exists \theta \in (p, p^*(a, b))$ and $M > 0$ such that $0 < \theta F(u) \leq uf(u)$ for $|u| \geq M$, where $F(s) = \int_0^s f(t)dt$.
- (f₄) (Asymptotic property at $u = 0$) $\lim_{s \rightarrow 0} f(s)/|s|^{p-1} = 0$.

Theorem (1) and (f_1) imply that the functional $I : X \rightarrow \mathbb{R}$:

$$I(u) = \frac{1}{p} \int_{\Omega} |x|^{-ap} |Du|^p dx - \frac{\lambda}{p} \int_{\Omega} |x|^{-(a+1)p+c} |u|^p dx - \int_{\Omega} |x|^{-bq} F(u) dx$$

is well-defined and $I \in C^1(X; \mathbb{R})$ and that the weak solutions of problem (1.1) is equivalent to the critical points of I . (f_2) implies that 0 is a trivial solution to problem (1.1).

Lema 5. *If f satisfies assumptions (f_1) - (f_3) , then I satisfies the (PS) condition for $\lambda \in (0, \lambda_1)$.*

Proof. 1. The boundedness of (PS) sequences of I .

Suppose $\{u_m\}$ is a (PS) sequence of I , that is, there exists $C > 0$ such that $|I(u_m)| \leq C$ and $I'(u_m) \rightarrow 0$ in X' , the dual space of X , as $m \rightarrow \infty$. The properties of the first eigenvalue λ_1 imply that for any $u \in X$, one has

$$\lambda_1 \int_{\Omega} |x|^{-(a+1)p+c} |u|^p dx \leq \int_{\Omega} |x|^{-ap} |Du|^p dx.$$

Let $c := \sup_m I(u_m)$. Then by the above inequality and (f_3) , as $m \rightarrow \infty$, it holds that

$$\begin{aligned} c - \frac{1}{\theta} o(1) \|u_m\| &= \left(\frac{1}{p} - \frac{1}{\theta}\right) \int_{\Omega} |x|^{-ap} |Du_m|^p dx \\ &\quad - \lambda \left(\frac{1}{p} - \frac{1}{\theta}\right) \int_{\Omega} |x|^{-(a+1)p+c} |u_m|^p dx + \int_{\Omega} |x|^{-bq} \left(\frac{1}{\theta} f(u_m) u_m - F(u_m)\right) dx \\ &\geq \left(\frac{1}{p} - \frac{1}{\theta}\right) \left(1 - \frac{\lambda}{\lambda_1}\right) \int_{\Omega} |x|^{-ap} |Du_m|^p dx \\ &\quad + \int_{\Omega(u_m \geq M)} |x|^{-bq} \left(\frac{1}{\theta} f(u_m) u_m - F(u_m)\right) dx \\ &\quad + \int_{\Omega(u_m < M)} |x|^{-bq} \left(\frac{1}{\theta} f(u_m) u_m - F(u_m)\right) dx \\ &\geq \left(\frac{1}{p} - \frac{1}{\theta}\right) \left(1 - \frac{\lambda}{\lambda_1}\right) \|u_m\|^p - C_1, \end{aligned}$$

where $C_1 \geq 0$ is a constant independent of u_m . The above estimate implies the boundedness of $\{u_m\}$ for $0 < \lambda < \lambda_1$.

2. By (f_1) , f satisfies the subcritical growth condition and by a standard argument there exists a convergent subsequence of $\{u_m\}$ as a consequence of the boundedness of $\{u_m\}$ in X . \square

Theorem 6. *If f satisfies assumptions (f_1) - (f_4) , then problem (1.1) has a nontrivial weak solution $u \in W_0^{1,p}(\Omega)$ provided that $0 < \lambda < \lambda_1$.*

Proof. We will verify the geometric assumptions of the Mountain Pass Lemma (cf. [13] Chapter 2, Theorem 6.1):

- (1) $I(0) = 0$ is obvious;
(2) $\exists \rho > 0, \exists \alpha > 0 : \|u\| = \rho \implies I(u) \geq \alpha$;

In fact, $\forall u \in X$, it follows

$$(3.1) \quad I(u) \geq \frac{1}{p} \left(1 - \frac{\lambda}{\lambda_1}\right) \int_{\Omega} |x|^{-ap} |Du|^p dx - \int_{\Omega} |x|^{-bq} F(u) dx.$$

From (f₄), $\forall \epsilon > 0, \exists \rho_0 = \rho_0(\epsilon)$ such that if $0 < \rho = \|u\| < \rho_0$, then $|f(u)| < \epsilon |u|^{p-1}$, thus

$$\int_{\Omega} |x|^{-bq} F(u) dx \leq \int_{\Omega} |x|^{-bq} \int_0^{u(x)} f(t) dt dx \leq \frac{\epsilon}{p} \int_{\Omega} |x|^{-bq} |u|^p dx \leq \frac{c_0 \epsilon}{p} \|u\|.$$

Choose $c_0 \epsilon_0 = (1 - \frac{\lambda}{\lambda_1})/2 > 0$, $\rho = \frac{\rho_0(\epsilon_0)}{2}$, from (3.1), one has

$$(3.2) \quad I(u) \geq \frac{1}{p} \left(1 - \frac{\lambda}{\lambda_1} - c_0 \epsilon_0\right) \int_{\Omega} |x|^{-ap} |Du|^p dx \geq \frac{\lambda_1 - \lambda}{2\lambda_1 p} \cdot \rho =: \alpha > 0.$$

- (3) $\exists u_1 \in X : \|u_1\| \geq \rho$ and $I(u_1) < 0$.

In fact, from (f₂) and (f₃), one can deduce that there exist constants $c_3, c_4 > 0$ such that

$$(3.3) \quad F(s) \geq c_3 |s|^\theta - c_4, \quad \forall s \in \mathbb{R}.$$

Since $\theta > p$, a simple calculation shows that as $t \rightarrow \infty$, it holds that

$$(3.4) \quad \begin{aligned} I(te_1) &\leq \frac{t^p}{p} \int_{\Omega} |x|^{-ap} |De_1|^p dx - \frac{\lambda t^p}{p} \int_{\Omega} |x|^{-(a+1)p+c} |e_1|^p dx \\ &\quad - c_3 t^\theta \int_{\Omega} |x|^{-bq} |e_1|^\theta dx + c_4 \int_{\Omega} |x|^{-bq} dx \\ &\rightarrow -\infty, \end{aligned}$$

which implies that $I(te_1) < 0$ for $t > 0$ large enough.

Thus Lemma 5 and the Mountain Pass Lemma imply that value

$$\beta = \inf_{p \in P} \sup_{u \in p} E(u) \geq \alpha > 0$$

is critical, where $P = \{p \in C^0([0, 1]; X) : p(0) = 0, p(1) = u_1\}$. That is, there is a $u \in X$, such that

$$E'(u) = 0, \quad E(u) = \beta > 0.$$

$E(u) = \beta > 0$ implies $u \neq 0$. □

Lema 7. Assume that $\lambda_1 \leq \lambda < \lambda_2$ and f satisfies assumptions (f₁)-(f₃). Then I satisfies the $(C)_c$ condition introduced by Cerami in [5], that is, any sequence $\{u_m\} \subset X$ such that $I(u_m) \rightarrow c$ and $(1 + \|u_m\|)\|I'(u_m)\|_{X'} \rightarrow 0$ possesses a convergent subsequence.

Proof.

1. The boundedness of the $(C)_c$ sequences in X .

Let $\{u_m\} \subset X$ be such that $I(u_m) \rightarrow c$ and $(1 + \|u_m\|)\|I'(u_m)\|_{X'} \rightarrow 0$. Then from (f_2) , (f_3) and (3.3), as $m \rightarrow \infty$, one has

$$\begin{aligned}
 (3.5) \quad pc + o(1) &= pI(u_m) - \langle I'(u_m), u_m \rangle \\
 &= \int_{\Omega} |x|^{-bq} (u_m f(u_m) - pF(u_m)) \, dx \\
 &= \int_{\Omega} |x|^{-bq} (u_m f(u_m) - \theta F(u_m)) \, dx + (\theta - p) \int_{\Omega} \theta |x|^{-bq} F(u_m) \, dx \\
 &\geq -C_1 + (\theta - p)c_3 |u_m|_{L^\theta(\Omega, |x|^{-bq})}^\theta - C_4 \int_{\Omega} |x|^{-bq} \, dx.
 \end{aligned}$$

Thus $\theta > p$ implies the boundedness of $\{u_m\}$ in $L^\theta(\Omega, |x|^{-bq})$.

On the other hand, a simple calculation shows that

$$\begin{aligned}
 (3.6) \quad \theta c + o(1) &= \theta I(u_m) - \langle I'(u_m), u_m \rangle \\
 &= \left(\frac{\theta}{p} - 1\right) \|Du_m\|_{L^p(\Omega, |x|^{-ap})}^p - \lambda \left(\frac{\theta}{p} - 1\right) \int_{\Omega} |x|^{-(a+1)p+c} |u_m|^p \, dx \\
 &\quad + \int_{\Omega} |x|^{-bq} (u_m f(u_m) - \theta F(u_m)) \, dx \\
 &\geq \left(\frac{\theta}{p} - 1\right) \int_{\Omega} |x|^{-ap} |Du_m|^p \, dx - C \\
 &\quad + \int_{\Omega(u_m < M)} |x|^{-bq} (u_m f(u_m) - \theta F(u_m)) \, dx \\
 &\quad + \int_{\Omega(u_m \geq M)} |x|^{-bq} (u_m f(u_m) - \theta F(u_m)) \, dx \\
 &\geq \left(\frac{\theta}{p} - 1\right) \|Du_m\|_{L^p(\Omega, |x|^{-ap})}^p - C,
 \end{aligned}$$

where $C > 0$ is a universal constant independent of u_m , which may be different from line to line. Thus $\theta > p$ and (3.6) imply the boundedness of $\{u_m\}$ in X .

2. By (f_1) , f satisfies the subcritical growth condition, by a standard argument, one can obtain that there exists a convergent subsequence of $\{u_m\}$ based on the boundedness of $\{u_m\}$ in X . \square

Theorem 8. *Suppose f satisfies assumptions (f_1) - (f_4) , and furthermore, $\theta > ps/(s-1)$ in (f_3) . Then problem (1.1) has a nontrivial weak solution $u \in X$ provided that $\lambda_1 \leq \lambda < \lambda_2$.*

Proof. It was shown in [3] that the $(C)_c$ condition actually suffices to get a deformation theorem (Theorem 1.3 in [3], and it was also remarked in [8] that

the proofs of the standard Mountain Pass Lemma and saddle-point theorem go through without change once the deformation theorem (Theorem 1.3 in [3]) is obtained with the $(C)_c$ condition. Here we verify the assumptions of standard Linking Argument Theorem (cf. [13] Chapter 2, Theorem 8.4) hold with the $(C)_c$ condition replacing the $(PS)_c$ condition.

Since $\partial Q_r \subset Q_r$ and Z_ρ link in X , it suffices to show that

- (1) $\alpha_0 = \sup_{u \in \partial Q_r} I(u) \leq 0$, when $r > 0$ is large enough.
- (2) $\alpha = \inf_{u \in Z_\rho} I(u) > 0$, when $\rho > 0$ is small enough.

In fact, let $u = te_1 \in \mathcal{E}_1$, from assumption (f_2) , $F(x, s) \geq 0$ for all $s \in \mathbb{R}$ and almost every $x \in \Omega$, thus it holds that

$$(3.7) \quad \begin{aligned} I(u) = I(te_1) &\leq \frac{|t|^p}{p} \int_{\Omega} |x|^{-ap} |De_1|^p dx - \frac{|t|^p \lambda}{p} \int_{\Omega} |x|^{-(a+1)p+c} |e_1|^p dx \\ &= \frac{|t|^p}{p} \left(1 - \frac{\lambda}{\lambda_1}\right) \|e_1\| \leq 0. \end{aligned}$$

Noticing that

$$\|u_m\|_{L^\theta(\Omega, |x|^{-bq})} = \left(\int_{\Omega} |x|^{-bq} |u|^\theta \right)^{1/\theta},$$

is a norm on \mathcal{E}_2 , and that the norms of finite dimensional space are equivalent, it follows that there exists a constant $c_5 > 0$ such that

$$\int_{\Omega} |x|^{-bq} |u|^\theta dx \geq c_5 \|u\|^\theta,$$

From (3.3), it holds that

$$(3.8) \quad I(u) \leq \frac{1}{p} \|u\|^p - c_3 c_5 \|u\|^\theta + c_4 |\Omega|.$$

Since $\theta > p$, it follows

$$I(u) \rightarrow -\infty, \text{ as } \|u\| \rightarrow \infty, \quad u \in \mathcal{E}_2,$$

this implies (1).

From (f_4) and (f_1) , it follows that

$$\int_{\Omega} |x|^{-bq} F(u) dx = o(\|u\|^p) \text{ as } u \rightarrow 0 \text{ in } X,$$

then for any $u \in Z$, it holds that

$$(3.9) \quad I(u) = \frac{1}{p} \left(1 - \frac{\lambda}{\lambda_2}\right) \int_{\Omega} |x|^{-ap} |Du|^p dx + o(\|u\|^p).$$

Since $\lambda < \lambda_2$, (3.9) implies (2).

Thus the Linking Argument Theorem (cf. [13] Chapter 2, Theorem 8.4) implies that the value

$$\beta = \inf_{h \in \Gamma} \sup_{u \in Q} E(h(u)) \geq \alpha > 0$$

is critical, where $\Gamma = \{h \in C^0(X; X); h|_{\partial Q} = \text{id}\}$. That is, there is a $u \in X$, such that

$$E'(u) = 0, \quad E(u) = \beta > 0.$$

$E(u) = \beta > 0$ implies $u \neq 0$. □

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